

# RINGS WITH INVOLUTION

BY  
S. A. AMITSUR

## ABSTRACT

Structure theorems for rings  $R$  with involution whose symmetric elements satisfy a polynomial identity are obtained. In particular, it is shown that such rings satisfy polynomial identities.

**1. Introduction.** A ring  $R$  is said to be a ring with an involution if there exists a mapping  $*$ :  $R \rightarrow R$  such that for every  $a, b \in R$ :

- 1)  $a^{**} = a$
- 2)  $(a + b)^* = b^* + a^*$
- 3)  $(ab)^* = b^*a^*$ .

The symmetric elements of  $R$  with respect to the involution ( $*$ ) is the set  $S = \{x \in R \mid x^* = x\}$ ; and the anti-symmetric elements is the set  $K = \{x \in R \mid x^* = -x\}$ .

The problem dealt with in this paper is the question: whether a ring  $R$  with an involution whose symmetric (or antisymmetric) elements satisfy a polynomial identity—necessarily also satisfies a polynomial identity. This has been shown to be true for simple rings as well as for semi-prime algebras of characteristic  $\neq 2$ ; moreover, if the identity of  $S$  is of degree  $d$  then the degree of the identity of  $R$  is  $\leq 4d$ . (Martindale [6], Herstein [2], [3]). In section 3 we prove this result for arbitrary rings (without the bound  $4d$ ), and if  $R$  is a semi-prime algebra then the degree of the identity of  $R$  is  $\leq 2d$ . By studying the nil subrings of  $R$  we obtain that  $R/N_1(R)$  satisfy an identity of degree  $\leq 2d^2$ , where  $N_1(R)$  is the union of all nilpotent ideals of  $R$ . From which we conclude that every ring  $R$  of this type satisfies an identity.

**2. Nil subsets of  $R$ .** By a polynomial identity of  $S$  we mean a polynomial  $p[x_1, \dots, x_k]$  in non-commutative indeterminates  $x_i$ , for which at least one of the coefficients of a monomial of highest degree is 1, and which vanishes identically for all substitutions  $x_i = s_i \in S$ . The proof can be extended to more general identities, following [1], but for the sake of simplicity we restrict ourselves to the above polynomials. By a process of linearization we can get, and therefore we assume that  $p[x]$  has the form:

$$(1.1) \quad p[x_1, \dots, x_2] = x_1 x_2 \cdots x_d + \sum d_{(i)} x_{i_1} \cdots x_{i_r}$$

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where  $(i_1, \dots, i_d)$  ranges over all permutations of  $(1, 2, \dots, d)$  different from identity.

REMARK A. The property of the vanishing identity for the symmetric elements may not be invariant under homomorphisms which preserves the involution since the homomorphic image  $R/P$  of  $R$  may have more symmetric elements than those inherited from  $R$ . This is not the case for algebras of over a field of characteristic  $\neq 2$ , since then if  $r^* \equiv r(P)$  then  $r^* - r = p \in P$  is an anti-symmetric element and hence  $(r + p/2)^* = (r + p/2)$  with  $r + p/2 \equiv r \pmod{P}$ . To overcome this difficulty we require less, and denote by  $S$  the set of all the symmetric elements of the form  $\{a + a^*; ab^* + ba^*, | a, b \in R\}$ , and  $K = \{a - a^*; ab^* - ba^*\}$  and assume that this restricted set  $S$  satisfies the identity  $p[x] = 0$ . For this set  $S$  (and  $K$ ) it is evident that the set  $(S + P)/P$  is the restricted set of symmetric elements of  $R/P$ , and  $p[x] = 0$  will vanish also in  $R/P$ . We refer henceforth only to the elements of this subset as to the symmetric elements of  $R$ .

We shall consider only identities of the symmetric elements  $S$ , but the proof for the anti-symmetric elements  $K$  is similar and suitable remarks of the changes required in the proofs will be enclosed.

We assume henceforth, that  $R$  is a ring with an involution  $*$  whose symmetric elements  $S$  satisfy the polynomial  $p[x] = 0$  given in (1.1).

Our first result is:

LEMMA 1. Let  $P$  be a two-sided ideal in  $R$  and let  $U$  be a subset of  $R$  such that  $U^* = \{u^* | u \in U\} = U$  and  $U^m \subseteq P$  then  $U^d$  generates a nilpotent ideal in  $R \pmod{P}$ .

Proof. Following [1], we consider for  $k > d$  the sets

$$U^{k-1}R, U^{k-1}RU, U^{k-2}RU, U^{k-2}RU^2, \dots,$$

namely, the sets:  $T_{2j-1} = U^{k-j}RU^{j-1}$ ,  $T_{2j} = U^{k-j}RU^j$ ,  $j = 1, 2, \dots, k$ . Since  $U^* = U$ , it follows that  $T_{2j-1}^* = T_{2(k-j+1)-1}$ ,  $T_{2j}^* = T_{2(k-j)}$ . Consider the symmetric elements<sup>(1)</sup>  $s_i = t_i + t_i^*$  where  $t_i$  is taken arbitrarily from  $T_i$ . First note that  $t_i t_j \in RU^k R$  if  $i > j$ .

For every permutation  $(i_1, \dots, i_d)$  of  $(1, 2, \dots, d)$  we have:

$$\begin{aligned} (2.1) \quad s_{i_1} \cdot s_{i_2} \cdots s_{i_d} &= (t_{i_1} + t_{i_1}^*) \cdots (t_{i_d} + t_{i_d}^*) \\ &= \sum_{\lambda} t_{i_{\lambda(1)}} \cdots t_{i_{\lambda(r)}} t_{i_{\lambda(r+1)}}^* \cdots t_{i_{\lambda(d)}}^* \pmod{RU^k R} \end{aligned}$$

where the sum ranges over all permutations  $(i_{\lambda(1)}, \dots, i_{\lambda(d)})$  of  $(i_1, \dots, i_d)$  and  $r = 0, 1, \dots, d$ . Indeed, since  $i_{\lambda} \leq d$  it follows that  $t_{i_{\lambda}}^* \in T_{i_{\lambda}}^* = T_{j_{\lambda}}$  and  $j_{\lambda} > d$ , since we assumed  $k > d$  and then for even  $i_{\lambda} = 2j \leq d$ ,  $j_{\lambda} = 2(k-j) \geq 2k-d > d$  and for odd  $i_{\lambda} = 2j-1 \leq d$ ,  $j_{\lambda} = 2(k-j+1)-1 \geq 2k-d > d$ ; this

(1) For the anti-symmetric case we take  $s_i = t_i - t_i^*$ .

implies that  $t_{i_\lambda}^* t_{i_\mu} \in RU^k R$  for  $j_\lambda > d \geq i_\mu$  and  $t_{i_\lambda}^* \in T_{j_\lambda}$ . Hence in the expansion of the left side of (2.1) we get  $\text{mod } RU^k R$  only the terms on the right. Next, we show that:

$$(2.2) \quad s_{i_1} \cdots s_{i_d} U^h R \equiv t_{i_1} \cdots t_{i_d} U^h R \pmod{RU^k R}$$

where  $h = d/2$  if  $d$  is even, and  $h = (d + 1)/2$  if  $d$  is odd. This follows from the fact that  $t_i^* U^h R \subseteq U^j R U^{k-j+h} R$ , where  $i = 2j$  or  $i = 2j - 1$ ; thus, we always have  $k - j + h \geq k$  since  $i \leq d$ , and  $h = d/2$  or  $h = (d + 1)/2$ . Finally,  $t_{i_1} \cdots t_{i_d} U^h R \subseteq RU^k R$  if  $(i_1, \dots, i_d) \neq (1, 2, \dots, d)$  since some pair  $(i_\lambda, i_{\lambda+1})$  must satisfy  $i_{\lambda+1} < i_\lambda$  and so  $t_{i_\lambda} t_{i_{\lambda+1}} \in RU^k R$ .

Hence substituting  $x_i = s_i$  in  $p[x]$  of (1.1) and multiplying on the right by  $U^h R$  we get, in view of the preceding remarks, that  $t_1 t_2 \cdots t_d U^h R = p[s_1, \dots, s_d] U^h R \equiv 0(RU^k R)$ . If we now let  $t_i$  range over the set  $T_i$ , we obtain  $T_1 T_2 \cdots T_d U^h R = (U^{k-1} R)^d U^{r'+h} R \subseteq RU^k R$  where  $2r' = d$  if  $d$  is even and  $2(r' + 1) - 1 = d$  if  $d$  is odd, and in both cases we conclude that  $(RU^{k-1} R)^{d+1} \subseteq RU^k R$  if  $k > d$ .

Now let  $U^r$  be the minimal power of  $U$  which generates a nilpotent ideal in  $R \text{ mod } P$ , then  $r \leq m$ ; from the last relation we conclude that  $r \leq d$ , since if  $r > d$  then  $(RU^{r-1} R)^{d+1} \subseteq RU^r R$  and therefore  $RU^{r-1} R$  will also be nilpotent  $\text{mod } P$ .

The preceding lemma will yield

**THEOREM 2.** *The nil radical  $U(R)$  of  $R$  is equal to the lower radical  $L(R)$  and  $L(R)^d \subseteq N_1(R)$ , where  $N_1(R)$  is the union of all nilpotent ideals of  $R$ . Thus if  $L(R) = 0$ , then  $R$  has no nil ideals.*

Let  $s \in S$  be a nil symmetric element, then by taking  $U = (s)$  in the preceding lemma and  $P = 0$ , one obtains that  $s^d$  generates a nilpotent ideal in  $R$ , hence  $s^d \in N_1(R) \subseteq L(R)$ . Suppose  $U(R)$  contains a non zero nilpotent symmetric element which  $\notin L(R)$ , then it must contain a symmetric  $s \in U(R)$ ,  $s \notin L(R)$  such that  $s^2 \in L(R)$ , then for every  $x \in R$ ,  $sx + x^*s \in U(R)$  (2) is a nil and symmetric; hence Lemma 1 implies that  $(sx + x^*s)^d$  generates a nilpotent ideal in  $R$  and it follows as before that  $(sx + x^*s)^d \in L(R)$ . Then  $(sx)^{d+1} = (sx + x^*s)^d sx \in L(R)$ , which means that if  $(sR + L(R))/L(R) \neq 0$  it is a nil ring of bounded index, hence if  $N/L(R)$  is the sum of all nilpotent ideals  $T/L(R)$  in  $[sR + L(R)]/L(R)$  then  $(sR)^d \subseteq N$  ([1]). For every  $T$ ,  $TsR$  will be a right nilpotent ideal  $\text{mod } L(R)$  hence  $TsR \subseteq L(R)$ , so that  $(sR)^{d+1} \subseteq L(R)$ . But this implies that  $s$  generates a nilpotent ideal  $\text{mod } L(R)$  which is impossible since  $s \notin L(R)$ , and  $R/L(R)$  has no nilpotent ideals. Thus, we conclude that if  $U(R) \neq L(R)$  then  $S \cap U(R) \subseteq L(R)$ . In this case, for  $x \in U(R)$ ,  $x + x^* \in U(R)$  since clearly  $U(R)^* = U(R)$  and, therefore,  $x + x^* \in L(R)$ ; and more generally  $x^*t + t^*x \in L(R)$ , for  $x \in U(R)$ ,  $t \in R$ . Choose  $x$  such that  $x \notin L(R)$ ,  $x^2 \in L(R)$  then  $x^*tx = (x^*t + t^*x)x \equiv 0(L(R))$  and  $x \equiv -x^*(L(R))$

(2) For the non-symmetric case, we take  $sx - x^*s$ .

it follows that  $(xR)^2 = -x^*RxR \equiv 0(L(R))$  which is impossible since  $U(R)/L(R)$  has no nilpotent ideals. We conclude, therefore, that  $U(R) = L(R)$ .

Now let  $u_1, \dots, u_d \in L(R)$  and consider the finite set  $U = (u_1, \dots, u_d, u_1^*, \dots, u_d^*)$  which satisfies  $U^* = U$ . By the local nilpotency of  $L(R)$ , it follows that  $U^m = 0$  for some  $m$ . It follows now by the preceding lemma that  $U^d$  generates a nilpotent ideal, i.e.,  $U^d \subseteq N_1(R)$ . In particular,  $u_1 u_2 \dots u_d \in N_1(R)$ . This being true for all  $u_i \in L(R)$ , yields that  $L(R)^d \subseteq N_1(R)$ .

**3. Primitive images of  $R$ .** Let  $R$  be an arbitrary ring with an involution, and let  $P$  be a primitive ideal in  $R$  such that  $R/P$  is an irreducible ring of endomorphisms of a vector space  $V_D$  over a division ring  $D$ . Then:

LEMMA 3. *One of the following holds for  $R$ :*

- 1)  $R/P$  has a minimal left ideal.
- 2) There is a finite dimensional  $D$ -subspace  $W \subseteq V$ , such that the left ideal  $L = (0:W) = \{r \mid rW = 0\}$ , satisfies  $L^* \subseteq P$ .
- 3) For every finite dimensional  $U \subseteq V$ , and every  $v \notin U$ ,  $[S \cap (0:U)]v \notin U + vD$ .

**Proof.** If (3) does not hold, then there exists a finite dimensional  $U \subseteq V$ , and  $v \notin U$  such that  $[S \cap (0:U)]v \subseteq U + vD$ . Let  $W = U + vD$  and  $T = (0:U)$ . By the density theorem it follows that  $T \neq 0$  since  $T$  contains an element  $b$  such that  $bU = 0$  and  $bv \neq 0$ . Furthermore: if  $W = V$  then  $V$  is finite dimensional and hence  $R/P$  has a minimal left ideal, and if  $V \neq W$ , then for every  $0 \neq a \in (0:W)$  and for every  $r \in R$ ,  $c = (ra)^*b + b^*(ra) \in (0:U)^{(3)}$  and  $c$  is symmetrical. Hence, it follows by our assumption that  $(ra)^*bv = [(ra)^*b + b^*(ra)]v \in W$ . Thus  $a^*r^*bv \in W$ , i.e.,  $a^*R(bv) \subseteq W$ , and since  $bv \neq 0$ , we have  $R(bv) = V$  so that  $a^*V \subseteq W$ . Now if  $a^* \notin P$  for some  $a \in (0:W)$  then it induces a linear transformation of finite rank, hence  $R/P$  has a minimal left ideal ([4], p. 75). Otherwise,  $a^* \in P$  for every  $a \in (0:W)$ , and condition (2) is valid, as required.

LEMMA 4. *If  $R$  is a ring whose symmetric elements satisfy an identity, then every primitive image  $\bar{R}$  of  $R$  has a minimal left ideal.*

**Proof.** Let  $\bar{R} = R/P$  acting on  $V$  as in the preceding lemma, and we may assume that  $R$  acts on  $V$ . We have to consider only cases (2) and (3): in case (2), if  $\bar{R}$  has no minimal left ideal, then necessarily  $(V:D) = \infty$ . Since  $(W:D) < \infty$ , one can choose  $w_0, w_1, \dots, w_d$  which are  $D$ -independent and  $\notin W$ . By the density theorem, we can choose  $t_i \in L = (0:W)$  such that  $t_i w_j = 0$  for  $j \neq i$  and  $t_i w_i = w_{i-1}$  for  $i = 1, 2, \dots, d$ , then since  $t_i^* \in P$ :  $s_i = t_i + t_i^* \equiv t_i \pmod{P}^{(1)}$ , so that

$$\begin{aligned} 0 &= p[s_1, \dots, s_d]w_d = p[t_1, \dots, t_d]w_d \\ &= t_1 t_2 \dots t_d w_d = w_0 \end{aligned}$$

(3) Or,  $(ra)^*b - b^*(ra)$  for the anti-symmetric case.

which is a contradiction, thus  $(V:D) < \infty$  and consequently  $\bar{R}$  has a minimal left ideal.

If case (3) holds, then we can choose  $w \in V$ , and  $s_d, s_{d-1}, \dots, s_1 \in S$  such that  $w, s_d w, s_{d-1} s_d w, \dots, s_k \cdot s_{k+1} \cdots s_d w$  are  $D$ -independent and  $s_j (s_i s_{i+1} \cdots s_d w) = 0$  for  $i < j + 1$ . This is carried out successively. First choose  $w \neq 0$ , then since  $Sw \notin wD$  by (3) of the preceding lemma with  $U = 0$ , we choose  $s_d w \notin wD$ , and so independent of  $w$ . Suppose  $s_d, \dots, s_k$  have been chosen satisfying the above condition, let  $U = wD + s_d wD + \dots + s_{k+1} s_{k+2} \cdots s_d wD$ , then since  $[S \cap (0:U)](s_k s_{k+1} \cdots s_d w) \notin U + s_k s_{k+1} \cdots s_d wD$  we choose  $s_{k-1} \in S \cap (0:U)$  such that  $s_{k-1} s_k \cdots s_d w \notin U + s_k \cdots s_d wD$  and this satisfies our requirements. Next we substitute these  $s_i$  in  $p[x]$  we get  $0 = p[s_1 \cdots s_d]v = s_1 s_2 \cdots s_d v \neq 0$  which is a contradiction, and this completes the proof of the lemma.

We are now ready to prove the first main result:

**THEOREM 5.** *Let  $R$  be a ring with an involution whose symmetric elements  $S$  satisfy  $p[x_1, \dots, x_d] = 0$  then  $R/L(R)$  satisfies an identity of degree  $\leq 2d$ ; and  $R/N_1(R)$  satisfies an identity of degree  $\leq 2d^2$ .*

**Proof.** Let  $V$  be an irreducible representation of  $R$ , and  $P = \{r \mid rV = 0\}$  the primitive ideal of  $R$ . Consider first the case that  $P^* \not\subseteq P$  (compare with [2] Lemma 25): the ring  $R/P$  contains the ideal  $(P^* + P)/P$  and for each  $t_i \in P^*$  we have  $t_i^* \in P$  and therefore

$$0 = p[t_1 + t_1^*, \dots, t_d + t_d^*] \equiv p[t_1, \dots, t_d] \pmod{P},$$

that is  $(P^* + P)/P$  satisfies an identity of degree  $d$ . Now a non-zero ideal in a primitive ring is primitive, and a primitive ring which satisfy an identity is a central simple algebra of dimension  $n (\leq [d/2])$ . Let  $V_0$  be an irreducible representation of  $(P^* + P)/P$ , then it is also an irreducible representation of  $R/P$  with the same centralizer, which implies that  $R/P \cong \text{Hom}_D(V_0, V_0)$  but the latter is isomorphic with  $(P^* + P)/P$ ; so  $R/P$  is also a central simple algebra of dimension  $n \leq [d/2]$  over its center and in particular  $R/P$  satisfies the standard identity  $S_{2d}[x] = 0$ . (compare with [2], p. 228).

Next consider the case  $P^* \subseteq P$ , where then the involution of  $R$  induces an involution in  $R/P$  by setting  $\bar{a}^* = \overline{a^*}$  for every  $a \in R$ . Since the symmetric elements of  $R$  satisfies the identity  $p[x] = 0$ , it will hold also in  $R/P$  (remark A), hence we may assume that  $R$  is primitive and it is an irreducible ring of endomorphisms of a space  $V_D$ . We shall prove first that  $(V:D) \leq d$ , and this we do by induction on  $d$ :

It follows by Lemma 4 that  $R$  contains a minimal left ideal  $Re$  which can be taken to be  $V$ , and  $D = eRe$ . Let  $e^*Re = M$ , then  $M \neq 0$  and both  $e, e^*$  are primitive idempotent hence  $M$  is a one-dimensional  $D$ -space. Indeed if  $v_1, v_2 \in e^*Re$  and  $D$ -independent then there exist  $r$  such that  $rv_1 = v_1, rv_2 = 0$  and clearly we

can replace  $r$  by  $e^*re^* \in D^*$  which is a division ring. Now for the division ring  $D^*$ ,  $rv_1 = v_1$  implies  $r \neq 0$  but  $rv_2 = 0$  yields that  $r = 0$ . Impossible! We define in  $V = Re$  a bilinear map:  $V \times V \rightarrow M$  by setting  $(v_1, v_2) = v_1^*v_2$ . Clearly this map is bilinear and satisfies  $(rv_1, v_2) = (v_1, r^*v_2)$ ;  $(v_1, v_2d) = (v_1, v_2)d$ ,  $d \in eRe$  and  $(v_1d, v_2) = d^*(v_1v_2)$ . It is hermitian in the sense that  $(v_1, v_2)^* = (v_2, v_1)$ , and regular for if  $(v, V) = v^*V = 0$  then  $v^* = 0$  and hence  $v = 0$ .

We prove that  $(V:D) \leq d$  by induction on  $d$ : consider first the case that there exist  $v \in Re$  such that  $(v, v) \neq 0$ . Let  $v^\perp = \{u \mid (u, v) = 0\}$ , then since  $M_D$  is one dimensional it follows that  $V = vD + v^\perp$ . Consider the ring  $R_0 = \{r \in R \mid rv = 0, rv^\perp \subseteq v^\perp\}$ . Then  $R_0^* \subseteq R_0$  and  $R_0$  is an irreducible ring of transformations of  $v$ : Indeed, let  $rv = 0$  then for every  $u \in V$ ,  $0 = (rv, u) = (v, r^*u)$  so that  $r^*V \subseteq v^\perp$ . Also for  $r \in R_0$ ,  $u \in v^\perp$ ,  $0 = (v, ru) = (r^*v, u)$  since  $rv^\perp \subseteq v^\perp$ . Thus  $(r^*v, v^\perp) = 0$  and  $(r^*v, v) = (v, rv) = 0$ , which implies by the linearity that  $(r^*v, V) = 0$  hence the regularity implies that  $r^*v = 0$ , i.e.  $r^* \in R_0$ . Next  $v^\perp$  is clearly  $R_0$ -faithful but also it is an  $R_0$ -irreducible module: for if  $u_1, u_2 \in v^\perp$  choose  $r$  such that  $rv = 0$  and  $ru_1 = u_2$ . By the preceding proof it follows that  $r^*V \in v^\perp$ , and since  $R$  is a primitive ring with a minimal left ideal we can choose  $r$  such that  $rV$  is one dimensional, so that  $rV = u_2D$ . Indeed first choose arbitrary  $r \in R$  by the density theorem, and then  $e \in R$  such that  $eu_2 = u_2$  and  $eV = u_2D$ , then  $er$  is the required element. Thus  $rv = 0$  and  $rv^\perp \subseteq u_2D \subseteq v^\perp$ , i.e.,  $r \in R_0$ . The symmetric elements of  $R_0$  will satisfy an identity of degree  $d - 1$ ; for choose  $x_i = s_i$ ,  $i = 1, \dots, d - 1$  arbitrary symmetric elements in  $R_0$  and  $s_d = urv^* + vr^*u^*$  for arbitrary  $r \in R$ ,  $u \in v^\perp$  then  $0 = p[s_1, \dots, s_d]v = p_1[s_1 \dots s_{d-1}]ur(v, v)$  since all  $s_iv = 0$ ,  $i \leq d - 1$  and  $s_dv = ur(v, v)$  as  $u^*v = (u, v) = 0$ . This being true for all  $r \in R$  implies  $p_1[s_1 \dots s_{d-1}]u = 0$  for all  $u \in v^\perp$  hence  $p_1[s_1 \dots s_{d-1}] = 0$ . Hence by induction  $(v^\perp:D) \leq d - 1$ , but then  $V = vD + v^\perp$  yields that  $(V:D) \leq d$ .

The second case where we have for all  $v \in V$ ,  $(v, v) = 0$  will follow similarly, but by passing to a ring  $R_0$  whose symmetric elements satisfy an identity of degree  $\leq d - 2$ .

Here we choose two elements  $v_1, v_2$  such that  $(v_1, v_2) \neq 0$  and by assumption  $(v_i, v_i) = 0$ . Let  $V_0 = v_1D + v_2D$  and consider  $V_0^\perp = \{u \mid (v_i, u) = 0\}$ . Then  $V = V_0 + V_0^\perp$ . The ring  $R_0$  will now be defined as the set  $\{r \mid rV_0^\perp \subseteq V_0^\perp, rV_0 = 0\}$ . Again  $R_0^* \subseteq R_0$ , for if  $r \in R_0$  for every  $w \in V$ ,  $0 = (rv_i, w) = (v_i, r^*w) = 0$  so that  $r^*V \subseteq V_0^\perp$  and  $0 = (v_i, ru) = (r^*v_i, u)$  for every  $u \in V_0^\perp$ . Thus  $(r^*v_i, V) = 0$  which yields that  $r^*V_0 = 0$ , i.e.,  $r^* \in R_0$ . Next  $V_0$  is an irreducible  $R_0$ -module and  $R_0$  acts faithfully on  $V_0$  (i.e.,  $R_0$  primitive). The second assertion is evident, for the first we choose  $u_1, u_2 \in V_0^\perp$  and  $r \in R$  such that  $rV_0 = 0$   $ru_1 = u_2$ , and since  $R$  has a minimal left ideal we may take  $r$  to be a l.t. of rank 1 namely,  $rV = u_2D$ , then  $r \in R_0$  since  $rV_0^\perp \subseteq u_2D \subseteq V_0^\perp$  and  $rV_0 = 0$ .

Apply now the following substitution: for arbitrary  $r_1, r_2 \in R$  and  $u \in V_0^\perp$  we set  $s_d = v_1r_1v_2^* + v_2r_1^*v_1^*$  and  $s_{d-1} = ur_2v_2^* + v_2r^*u^*$ ,  $s_i \in R_0$  for  $i = 1, 2, \dots, d - 2$ .

$$\begin{aligned}
 &= p[s_1, \dots, s_d]v_1 = p_0[s_1, \dots, s_{d-2}]s_{d-1}s_d v_1 \\
 &= p_0[s_1 \cdots s_{d-2}]ur_2(v_2v_1)r_1(v_2v_1).
 \end{aligned}$$

Indeed, all  $s_i s_d = 0$  except  $s_{d-1} s_d$  also all  $s_i v_1 = 0, i \leq d-2$ , consequently, we have to consider only the monomials ending with  $s_{d-1} s_d v_1$ , which proves the preceding result since  $(v_1 v_1) = 0$ , and  $v_2^* v_1 = (v_2 v_1)$  this being true for all  $r_1, r_2 \in R$  and since  $(v_1 v_2) \neq 0$ , yields that  $p[s_1, \dots, s_{d-2}]u = 0$  for every  $u \in V_0^\perp$ . Hence  $p[s_1, \dots, s_{d-2}] = 0$  in  $R_0$ . Thus, by induction:  $(V_0 : D) \leq d-2$ , as  $V = V_0 + V_0^\perp$  it follows,  $(V : D) \leq d$ . The case  $d = 1, 2$  are already included in the preceding proofs, and the induction is completed.

Thus we may assume that  $R$  acts on a finite dimensional vector space and so  $R = D_k$ . Let  $C$  be the center of  $R$ , then the involution of  $R$  induces an automorphism  $c \rightarrow \bar{c}$  of degree  $\leq 2$  in  $C$ , and let  $C_0$  be the invariant field. Let  $F \subseteq D$  be a maximal commutative subfield of  $D$ . Consider the ring  $R \otimes_{C_0} F = \tilde{R}$  as acting on  $V$  by setting  $(r \otimes \alpha)v = rv\alpha$ . The centralizer of  $\tilde{R}$  in  $V$  is then  $F$ . Define in  $\tilde{R}$  the involution  $(\sum r_i \otimes d_i)^* = \sum r_i^* \otimes d_i$  then since we took the tensor product with respect to the invariant field  $C_0$  this is well defined, since  $(rc \otimes d_i)^* = (r \otimes cd_i)^*$ ; and since  $p[x_1 \cdots x_d]$  is multilinear, also the symmetric elements of  $\tilde{R}$  satisfy  $p[x] = 0$ . Now let  $P = \text{Ker}[\tilde{R} \rightarrow \text{Hom}_F(V, V)]$ , and if  $P^* \not\subseteq P$  it follows by the first case of primitive rings that  $\tilde{R}/P$  satisfies the identity  $S_{2d}[x] = 0$ , and since  $\tilde{R} \rightarrow R/P$  is an injection on the elements of  $R$  it follows that  $R$  satisfies this identity. (This will be the case  $C_0 \neq C$ .) If  $P^* \subseteq P$ , then by the second case of primitive ring it follows that  $(V : F) \leq d$  and, therefore,  $R$  is isomorphic with a subring of  $\text{Hom}_F(V, V)$  which satisfy  $S_{2d}[x] = 0$ . This concludes the proof for primitive rings, from which the semi-primitive case follows, since every primitive homomorphic image of satisfies  $S_{2d}[x] = 0$  and  $R$  is a subdirect sum of its primitive images.

The semi-prime case follows now by embedding  $R$  in  $R[t]$  the ring of polynomials in a commutative indeterminate  $t$ , and  $R[t]$  is semi-primitive, since it follows by Theorem 2 that  $R$  has no nil ideals.

The final part of the proof of Theorem 5 is the obvious observation that  $L(R)^* = L(R)$ , for an ideal  $P$  is prime if and only if  $P^*$  is prime and  $L(R) = \cap P$ ; and so  $R/L(R)$  is semi-prime satisfying  $p[x] = 0$  by remark A. Thus  $R/L(R)$  satisfies  $S_{2d}[x] = 0$ , Theorem 2 implies that  $L(R)^d \subseteq N_1(R)$  so that we conclude that  $(S_{2d}[x])^d = 0$  in  $R/N_1(R)$ , and the proof of Theorem 5 is completed.

4. Arbitrary rings.

**THEOREM 6.** *If  $R$  is a ring with involution, such that its set  $S$  of symmetric elements satisfies a polynomial identity of degree  $d$ , then  $R$  satisfies an identity  $S_{2d}[x]^m = 0$  for some  $m$ .*

**Proof.** Consider the complete product of the ring  $\tilde{R} = \prod R_\alpha$  where  $\alpha$  ranges over the set  $R^{2d}$  of all  $2d$ -tuple  $(r_1, \dots, r_{2d})$  of elements of  $R$ , and  $R_\alpha = R$  for

all  $\alpha$ .  $\bar{R}$  is also a ring with an involution by setting  $f^*(\alpha) = [f(\alpha)]^*$  for all  $\alpha \in \bar{R}$ . Since for every component  $p[x]=0$  is satisfied by its symmetric elements—the same will hold in  $\bar{R}$ . So it follows that  $S_{2d}[f_1, \dots, f_{2d}] \in L(\bar{R})$  for every  $f_i \in \bar{R}$ . Fixing  $f_1, \dots, f_{2d}$ , the element  $S_{2d}[f_i]$  belonging to the lower radical is nil—hence,  $S_{2d}[f_i]^m = 0$ . The way we choose the  $f_i$  is that  $f_i$  picks the  $i$ -th component of  $\alpha = (r_1, \dots, r_{2d})$ , i.e.,  $f_i(\alpha) = r_i$ . Thus  $S_{2d}[f_i]^m(\alpha) = S_{2d}[r_1, \dots, r_{2d}]^m = 0$ . This being true for all  $\alpha$  means that  $S_{2d}[x_1, \dots, x_{2d}]^m = 0$  holds identically in  $R$ . Q.E.D.

We conclude with

REMARK B. The integer  $m$  such that  $S_{2d}[x]^m = 0$  holds in  $R$  is bounded by some integer depending on the identity  $p[x]$  and not on  $R$ . If this were not the case one would have rings  $R_i$  satisfying  $p=0$  and  $S_{2d}[x]^{m_i} = 0$  with minimal  $m_i$  and  $m_1 < m_2 < \dots$ . But then  $\prod R_i$  will satisfy  $p=0$  and will not satisfy any  $S_{2d}^m = 0$ . Contradiction.

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